[Colloquium, October 31<sup>st</sup> 2018]

# **Topology and Geometry in Quantum Matter**

# Gil Young Cho POSTECH

# **Disclaimer:**

## It is mainly for undergraduates and young graduate students.



If you are an expert, you can sit back and relax.



**1. Introduction:** Quantum Matter & Topological States

**2. Geometry in Topological Quantum Matter** 

**3. Outlooks and Conclusions** 

# **1. Introduction**

# What is Condensed Matter Physics?



Simplest Version:  $\mathbf{R} = \mathbf{R}(\rho_e, T, P, \cdots) = \mathbf{f}(\text{materials, temperature} \cdots)$ 

#### Seemingly Straightforward & Uninteresting (!)

#### Some of you think: Condensed Matter Physics is about...



Semiconductors

**Electronics** 

Money

...and it is boring and too "down to the earth"

But, is it really?

# To me, it is more like:

To see a world in a grain of sand and heaven in a wild flower Hold infinity in the palms of your hand and eternity in an hour.

William Blake

in quoteiancy

"Seeing the Universe in a Grain of Sand"

# Let's look inside "a grain of sand"



# Seeing the Universe from a grain of sand:





Paul Dirac (1902-1984), 1933 Nobel Prize

The Quantum Theory of the Electron.

By P. A. M. DIRAC, St. John's College, Cambridge.

(Communicated by R. H. Fowler, F.R.S.-Received January 2, 1928.)

The new quantum mechanics, when applied to the problem of the structure of the atom with point-charge electrons, does not give results in agreement with experiment. The discrepancies consist of "duplexity" phenomena, the observed number of stationary states for an electron in an atom being twice the number given by the theory. To meet the difficulty, Goudsmit and Uhlenbeck have introduced the idea of an electron with a spin angular momentum of half a quantum and a magnetic moment of one Bohr magneton. This model for the electron has been fitted into the new mechanics by Pauli,\* and Darwin,† working with an equivalent theory, has shown that it gives results in agreement with experiment for hydrogen-like spectra to the first order of accuracy.

#### **Graphene (Carbon Atoms)**

#### **Dirac's Electrons**





#### **Higgs Particles from LHC**

#### **Superconductors**

# Seeing the Universe and Beyond:

## **Magnetic Monopole:**



Magnetic monopoles in spin ice

C. Castelnovo<sup>1</sup>, R. Moessner<sup>1,2</sup> & S. L. Sondhi<sup>3</sup>

[Nature (2008)]

**New Fermions** (due to the lack of Lorentz invariance)

# Beyond Dirac and Weyl fermions: Unconventional quasiparticles in conventional crystals

Barry Bradlyn<sup>1,\*</sup>, Jennifer Cano<sup>1,\*</sup>, Zhijun Wang<sup>2,\*</sup>, M. G. Vergniory<sup>3</sup>, C. Felser<sup>4</sup>, R. J. Cava<sup>5</sup>, B. Andrei Bernevig<sup>2,†</sup>

[Science (2016)]

# **Useful inputs from High-Energy Physics:**

#### Three Lectures On Topological Phases Of Matter

Edward Witten [arxiv:1510.07698 (2015)]

In recent years, a number of fascinating new applications of quantum field theory in condensed matter physics have been discovered. For an entrée to the literature, see the review articles

...and there are a lot more [Names in Red color = HEP theorists].

A Duality Web in 2+1 Dimensions and Condensed Matter Physics [Seiberg, Senthil, Wang, Witten (2016)]

Fermionic symmetry protected topological phases and cobordisms

[Kapustin, Thorngren, Turzillo, Wang (2016)]

An SYK-Like Model Without Disorder

[Witten (2016)]

Chen, GYC, Faulkner, Fradkin (2015)

GYC, Parrikar, You, Leigh, and Hughes (2015)

...and much more.

# **Fundamental Importance of Condensed Matter Physics**

## "Classification/Understandings of Phases of Matter in Universe"

T > 0: Liquid, Solid, Gas, Magnetism, Superconductors

T = 0: How many phases of matter are there? One or more? Why?

**Note:**  $2^{nd}$  Law of Thermodynamics says "Entropy  $\rightarrow 0$  as  $T \rightarrow 0$ "

**Modern Condensed Matter Physics** 

# **Condensed Matter Systems as "Lens" toward "Nature"**



"Grain of a Sand": What you practically study

"Nature": What you really learn

## Today, we will use a very particular Lens:

# **Topology and Geometry in Quantum Matter**

# Why topology, geometry, quantum important?

# "Quantum" Electrons in Solids 1:

**Electrons in Solids are "Quantum Mechanical"** 



**Classical Electron** 



**Electrons' Orbits on Bismuth** 

[STM from Yazdani's group, Science (2016)]

# What's different in **Quantum Mechanics**?

# "Quantum" Electrons in Solids 2:

**Quantum = Not a point in Space** 

We can talk about "Shape/Structure" of Electrons' Orbits in Space

#### E.g. in Hydrogen:



# **Striking Consequence of**

# Non-trivial Shapes (= Topology) of Electrons' Orbits

**Topological States** 

: Universal physics depends solely on "topology"

**Ex: quantum Hall states** (2-dim. electron gas under magnetic field)



**Different Universal Properties = Different Topology** 

# **Topology appears not only in the resistivity:**

#### **For example:** Metallic Boundary States



**Quantum Hall states** 

Bulk: 2d system

Boundary: 1d chiral fermion



**Topological Band Insulator** 

3d system

2d Dirac fermion

**Topology manifests as robust metallic channels at Boundary** 

# **Robust Topology:**

**Topological phases cannot distinguish the following geometries:** 



"Only the global topology (of space, wavefunction, Hamiltonian) matters."

[disorders, chemical details, surface conditions etc, shouldn't matter]

Metallic boundary states, and Resistivity/Conductivity

are Universal as far as "topology" doesn't change

= Overall shape of electrons' orbits

# **Topological State** *is* **the Central Theme in Modern Physics**

# Nobel Prize (2016)



## ...and other prizes

APS Buckley Prize (2017)

Dirac Medal (2015)

New Horizon Prize (2016, 2012)



# 2. Topology and Geometry in Quantum Matter

**Extra information about Space:** 

**Crystal symmetry & its deformations** 

# Are all the topological states truly "topological"?

[= common belief]



**Geometric features** 

# **Not Entirely True.**

# **Topological states see "Geometry"**

# Not only seeing, but there are intricate interplays !



#### **Examples:**

Anisotropic Quantum Hall effects and Higher-Order Topological Insulators

and many others (we will come back later)

# Not only seeing, but there are intricate interplays !



#### **Examples:**

**Anisotropic Quantum Hall effects** and Higher-Order Topological Insulators

and many others (we will come back later)

# **Anisotropic Quantum Hall States**

# & Geometry in Quantum Hall States

#### **Quantum Hall states:**

- **1.** Electrons under uniform magnetic field
- **2. Exotic:** Emergent fractional excitations with fractional statistics
- 3. "Birth place" for "Topological Phase"

4. Topological: successful theoretical descriptions imply



The wave-functions are independent of geometry !

#### A consequence of being "topological" is:



#### Theory supports: "composite particle theory"

#### **Composite Bosons:**

Zhang, Hansson, Kivelson (1989) [cited: 1000 times]

Wen (1992, 1995, 2004)

[cited: 1200 times]

#### **Composite Fermions**

Jain (1989)

[cited: 2200 times]

Lopez, Fradkin (1991, 2013)

[cited: 1700 times]

..and many other papers or textbooks.

#### **Anisotropic electronic states**



[Eisenstein (2011)]

#### Evidence for a fractionally quantized Hall state with anisotropic longitudinal transport

Jing Xia<sup>1\*†</sup>, J. P. Eisenstein<sup>1</sup>, L. N. Pfeiffer<sup>2</sup> and K. W. West<sup>2</sup> [Nature physics, 2011]



Evidence for a fractionally quantized Hall state with anisotropic longitudinal transport

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"Topological" state (stable  $R_{xy}$ ) with "Anisotropy"

$$\Psi(\stackrel{\uparrow}{\longrightarrow}) \neq \Psi(\stackrel{\uparrow}{\longrightarrow})$$

A new form of quantum matter which has not been observed

1. How to describe such state?

2. Any interplay of "topology" and "anisotropy"?

3. Characteristics of the transition?

We develop the new theoretical frameworks.

...

#### From experiments to theory:



Interpretation: "anisotropic effective mass  $\delta g_{ab}$ " of electrons

$$H = \frac{1}{2m} (D_i \Psi)^* g^{ij} (D_j \Psi) \text{ with } D_\mu = \partial_\mu + iA_\mu$$

$$f$$

$$g^{ij} = \begin{bmatrix} 1 + \delta g_{xx} & \delta g_{xy} \\ \delta g_{xy} & 1 - \delta g_{xx} \end{bmatrix} \text{ with } \delta g \text{=order parameter of anisotropy}$$

You, GYC, and Fradkin, PRX (2014)

From experiments to theory:

$$H = rac{1}{2m} (D_i \Psi)^* g^{ij} (D_j \Psi)$$
 with  $D_\mu = \partial_\mu + iA_\mu$ 

Equivalent to a regular quantum Hall state on curved space



How quantum Hall states "respond" to geometry  $g_{ij}$ ?

You, GYC, and Fradkin, PRX (2014)

The standard composite particle theory fails:

"Topological"



The standard theory cannot explain physics "sensitive to  $g_{ij}$ "

We need to develop a new theory!

GYC, You, and Fradkin (2014) [See also unpublished results in my invited talks at APS March Meeting (2015)]

## **Standard Composite Particle Theory**

Landau-Ginzburg theory of BCS superconductors:



**Composite particle theory of quantum Hall effects:** 



**Composite Particle Theory:** 

A simple theory:

Electromagnetic gauge

$$L = \bar{\rho}A_0 - \frac{3}{4\pi}b_{\mu}\partial_{\nu}b_{\lambda}\epsilon^{\mu\nu\lambda} + A_{\mu}\underbrace{\epsilon^{\mu\nu\lambda}}_{2\pi}\partial_{\nu}b_{\lambda} + \cdots$$
$$J^{\mu}: \text{electron current}$$

known as the Chern-Simons effective theory

**Topological:** no data about geometry  $g_{ij}$ 

[Zhang, Hansson, Kivelson (1989), Wen (1992), (1995)]

#### **New Composite Particle Theory:**

#### New theory:



GYC, You, and Fradkin (2014) [See also unpublished results in my invited talks at APS March Meeting (2015)]

**New Composite Particle Theory:** 

#### **Difference between the two?**



GYC, You, and Fradkin (2014) [See also unpublished results in my invited talks at APS March Meeting (2015)]

## **Curved space with curvature**

Standard composite particle theory [point particle]



From the rest frame of the composite particle:

New composite particle theory [Stick-like structure]



[GYC, You, and Fradkin (2014)]



Nothing interesting happens.



Electron goes around the flux ! ["internal rotation"]

There is an additional Berry phase from curvature of the geometry.

The new composite particle sees the geometry  $g_{ij}$ 

**New Composite Particle Theory:** 

New "composite particle" e leads to...

#### A geometrical theory:



[a function of  $g_{ij}$ ]

**New Composite Particle Theory:** 

$$L = \bar{\rho} \left( A_0 + \frac{3}{2} \omega_0 \right) - \frac{3}{4\pi} b_\mu \partial_\nu b_\lambda \epsilon^{\mu\nu\lambda} + \left( A_\mu + \frac{3}{2} \omega_\mu \right) J^\mu + \cdots$$

#### Dependence on geometry $\omega_{\mu}$ explicitly



This theory can explain physics associated with anisotropy  $g_{ij}$ 

# **Back to Experiments from Theory**

#### **0.** Applied to the anisotropic state:

Evidence for a fractionally quantized Hall state with anisotropic longitudinal transport

Jing Xia<sup>1\*†</sup>, J. P. Eisenstein<sup>1</sup>, L. N. Pfeiffer<sup>2</sup> and K. W. West<sup>2</sup> [Nature physics, 2011]

$$L = \bar{\rho} \left( A_0 + \frac{3}{2} \omega_0 \right) - \frac{3}{4\pi} b_\mu \partial_\nu b_\lambda \epsilon^{\mu\nu\lambda} + \left( A_\mu + \frac{3}{2} \omega_\mu \right) \frac{\epsilon^{\mu\nu\lambda}}{2\pi} \partial_\nu b_\lambda + \cdots$$

The anisotropic state has:

**1.** Well-quantized  $R_{xy}$  plateau

2. Electronic charge 
$$-\frac{1}{3}$$
 fractional excitation

GYC, You, and Fradkin (2014); You, GYC, and Fradkin (2014)

## **1. New fractional excitation in anisotropic states**

Disclinations: vortex-like defects in  $\vec{\Phi} \sim (\delta g_{\chi\chi}, \delta g_{\chi\gamma})$ 



#### Disclinations carry the fractional charge and statistics !

This is a new fractional excitation which exists only inside anisotropic states.

GYC, Parrikar, You, Leigh, and Hughes, PRB (2014)

## 2. Phase diagram & Quantum Critical Phenomena:



#### 3. Other signatures of symmetry-broken quantum Hall states:

From the theory, we can also derive:

3. Softening of collective modes at the transition

- 4. Transport signatures
  - Anisotropic DC conductivities
  - Peak in the AC conductivity measurement

## So far, we have shown that:

1. New forms of quantum Hall states with unexpected anisotropy are found. [Ref. Xia et. al., (2011), Samkharadze et.al., (2016), Schreiber et.al. (2017)]

2. We have introduced the New composite particle theory

...which change the nature of composite particles into a "stick" e

GYC, You, and Fradkin, PRB (2014) Gromov, GYC, You, Abanov, and Fradkin, PRL (2015) You, GYC, and Fradkin, PRB(2016)

#### 3. We have predicted several exotic experimental signatures of anisotropic states

You, GYC, and Fradkin, PRX (2014) GYC, Parrikar, You, Leigh, and Hughes, PRB (2014) GYC, and Fradkin, in preparation

# How general is this interplay?



#### **Examples:**

Anisotropic Quantum Hall effects and Higher-Order Topological Insulators

and many others (we will come back later)

# **Examples:**

(1) Screw Dislocations in Topological Band Insulators [Ref. Ran et.al., Nat. Phys. (2009)]



(2) "Corner" of Boundary (i.e., Curvature) in Higher-Order Topological Insulators



[Ref. Benalcazar et.al., Science (2017)] Bismuth [*Nat. Phys. 2018*]

#### **General Theoretical Frameworks are** *lacking* **!**

## Consequently,

## **Characterization of Higher-Order Topological Insulator is still unclear**



Ref. Byungmin Kang, Hyun Woong Kwon, Kwon Park, and GYC, in progress

#### We devised a new order parameter for many-body systems:

$$Q_{xy} = \frac{1}{2\pi} \operatorname{Im} \log \langle GS | U_2 | GS \rangle$$
 with  $U_2 = \exp \left( \frac{2\pi i}{L_x L_y} \sum xy \rho(x) \right)$ 

Maybe, I will present this next time

**Take-Home Message:** 

## Geometry in Topological States = Non-Trivial

...and there are more to understand.

# **3. Outlooks and Conclusions**

# We have focused on a very particular theme:

# **"Geometry" of Topological Phases**

# I hope you find this physics interesting.

Let's zoom out

# ...and estimate if they are really worthy to study

# By "how it influences other fields"

[not always the best estimate]



& Material Science, Future Electronics

"Quantized Transports & Robust Boundary States"

General

**Condensed Matter Theory** 

& Quantum Information Theory

"Exotic particles & ground states"

**Ex:** Fractionalization

**Entanglement & Tensor Networks** 

Anyon

**Topological States** 

**High-Energy Theory** 

**& Mathematical Physics** 

"Structures of Quantum Field Theory"

Ex: Non-SUSY Bosonization

**Classification of Anomaly** 

**Emergent SUSY** 

## There are lots of important problems/themes to be explored!

**1.** Classifications of Topological States with generic symmetry

= Classifications of Anomaly with generic symmetry and matter contents [in connection with HEP theory & Mathematical Physics]

- 2. Feasible Models & Experimental Realizations for Many Topological States [in connection with Condensed Matter Experiment]
- 3. Stable realizations/symmetry constraints for Non-abelian Anyons [General Condensed Matter Theory/Quantum Information]
- 4. Non-Equilibrium Topological States & Classifications [General Condensed Matter Theory]
- 5. Generic Frameworks for Topological Phase Transitions [General Condensed Matter Theory/HEP theory]

...and so on.

So, for young students:

# There are huge chances to contribute academically!

# You may also find:



**Better Semiconductors** 

**Better Electronics** 

**More Money** 

...as a bonus !

# **Conclusions:**

**1. (Loosely-defined) Topological Phase:** 

= Universal physics is determined by topology of wavefunctions

## 2. Topological Phase is also "Geometric"

## and this introduces new physics

Ex: new fractional excitations, unconventional quantum phase transitions and so on

## 3. Study of Topological Phase is "interdisciplinary"

Condensed Matter Theory/Experiment, High-energy Theory, Quantum Information Theory

# Finally, for the students:



# I want you to join me !

# Thanks !

Feel free to email me at:

gilyoungcho@postech.ac.kr

#### More formally, I can compute:

The quantum amplitude P[C] for the composite particle to move along curve C

$$L = \frac{1}{4\pi \cdot \mathbf{k}} \epsilon^{\mu\nu\lambda} a_{\mu} \partial_{\nu} a_{\lambda} - j^{\mu} a_{\mu}$$

[Witten (1989), Polaykov (1988), Dunne, Jackiw, and Trugenberger (1989)]

P[C]  $\propto$  [Linking]  $\cdot$  [Torsion]  $\propto \exp\left(-i\int_{C} dx^{\mu} \left[A_{\mu} + \frac{k}{2}\omega_{\mu}\right]\right)$ 

...couple directly to  $\omega_\mu$  as like  $A_\mu$  !

[consistent with spin-statistics relations]

The standard approach gives:

$$P_{\text{old}}[C] \propto \exp\left(-i\int\limits_{C} dx^{\mu} A_{\mu}\right)$$



Composite particle moving along C

GYC, You, and Fradkin (2014) [See also unpublished results in my invited talks at APS March Meeting (2015)]

(Conjecture) Inside topological states:

Electrons "see" geometry through emergent "frame"

Instead of point particle,



**Consequence:** 

# (1) Geometric Defects = Magnetic Fluxes

...which localize "fractional excitations" in topological states

# (2) Geometry affects Electronic Structures

e.g., transport, collective modes and so on

# **Topology appears not only in the resistivity:**

**2.** Emergent "Fractional" Modes:





[Ref: Quantized Multipoles, Science, 2017]

Charge at "boundary" =  $\frac{e}{2}$ 

Majorana fermion and Anyons

**Fractional Statistics** 

[cf. quantum computations]

Note: "finite-N electrons" is charge-N and fermionic (if N odd)

bosonic (if N even)

Fractionalization is unique in many-body "topological" states

# **Activities around Topological States:**



# **Activities around Topological States:**



#### **Remark:**



This is the (condensed matter) realization of...

"Gravitational Anomaly (Framing Anomaly)"

Quantum Field Theory and the Jones Polynomial \*

Edward Witten \*\*

...and there are interpretations in condensed matter systems.